

EXPRESS MAIL LABEL: EL714878821US

₽ATENT

**DOCKET NO.: D8113-00021** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Parag Pruthi, Andrew Heybey, Thekkthalackal V. Kurien

For: Apparatus and Method for Collecting and Analyzing Communications Data



### **CERTIFICATION UNDER 37 CFR § 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited with the U.S. Postal Service in an envelope as "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR § 1.10, Mailing Label Number <a href="EL714878821US"><u>EL714878821US</u></a> addressed to the: Assistant Commissioner for Patents, Washington, DC 20231.

Date

Box PATENT APPLICATION

Assistant Commissioner for Patents

Washington, DC 20231

⊨ Sir:

### PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

### Type of Application:

| [X ] | Utility | (non-prov | isional) |
|------|---------|-----------|----------|
|------|---------|-----------|----------|

[ ] Divisional

[X] Continuation

[ ] Continuation-In-Part (CIP)

| []   | Decla   | e entire disclosure of the prior application, from which a copy of the Oath or eclaration is supplied, is considered to be part of the disclosure of the accompanying plication and is hereby incorporated by reference herein (37 CFR § 1.63(d)) |                  |                          |              |           |  |  |
|--|---|---|------------------|--------------------------|--------------|-----------|--|--|
| Benef  | fit of Pr   | ior U.  | S. Application(s | s) Claimed (37 CFR § 1.7 | <i>"8)</i> : |           |  |  |
| []   | PLEASE ENTER THE FOLLOWING AMENDMENT TO THE SPECIFICATION UNDER THE CROSS REFERENCE TO RELATED APPLICATIONS SECTION:  |   |                  |                          |              |           |  |  |
|  | []  | This application claims the benefit of <b>U.S. Provisional Application(s) No(s).</b> 60/, filed on  |                  |                          |              |           |  |  |
|  | []  | This application is a [ ] continuation [ ] continuation-in-part [ ] divisional of copending U.S. Application Serial No(s) filed on  |                  |                          |              |           |  |  |
|  | [ ] International Application No filed on designated the U.S.  Benefit of Prior Foreign Application(s):  [ ] Priority is claimed under 35 U.S.C. § 119 of Application No file in [COUNTRY].  [ ] A Certified Copy of each of the following application(s) for which priorit |   |                  |                          |              | and which |  |  |
| ∭<br>Bene  | fit of P  | rior Fo   | oreign Applicati | on(s):                   |              |           |  |  |
| Chan Chall Lond  | []  | Priority is claimed under 35 U.S.C. § 119 of Application No filed on in [COUNTRY].  |                  |                          |              |           |  |  |
|  | []  | ] A Certified Copy of each of the following application(s) for which priority is cla  |                  |                          |              |           |  |  |
| There are the second se |   |   | Country          | APPLICATION NO.          | FILING DATE  |           |  |  |
|  |   |   |                  |                          |              |           |  |  |
|  |   |   |                  |                          |              |           |  |  |
|  |   | []  | is enclosed.     |                          |              |           |  |  |
|  |   | [ ] has been filed in prior Application Serial No. 0_/, which was filed on  |                  |                          |              |           |  |  |

Incorporation by Reference:

| Appl                            | lication Elements Enclosed:   |  |  |  |  |  |
|---------------------------------|---|--|--|--|--|--|
| [x]                             | 31 Pages of Specification   |  |  |  |  |  |
| [x]                             | _17 Pages of Claims   |  |  |  |  |  |
| [x]                             | 28 Sheets of Drawings   |  |  |  |  |  |
|                                 | [x] Formal  |  |  |  |  |  |
|                                 | [] Informal   |  |  |  |  |  |
|                                 | [ ] Petition to Accept Photographs (37 CFR § 1.84(b)).                            |  |  |  |  |  |
| [x]                             |   |  |  |  |  |  |
| Accompanying Application Parts: |   |  |  |  |  |  |
|                                 | Associate Power of Attorney   |  |  |  |  |  |
| [x ]                            | Preliminary Amendment   |  |  |  |  |  |
| [x]<br>[x]                      | Information Disclosure Statement  |  |  |  |  |  |
| Senson many                     | [x] Form PTO 1449 (PTO/SB/08A, 08B)   |  |  |  |  |  |
|                                 | [x ] Copies of Cited References   |  |  |  |  |  |
|                                 | Nucleotide and/or Amino Acid Sequence Submission (37 CFR §§ 1.821-1.825).         |  |  |  |  |  |
| []                              | Microfiche (Appendix) (37 CFR § 1.96(c)).   |  |  |  |  |  |
| [x]                             | Return Receipt Postcard (itemized)  |  |  |  |  |  |
| Dec                             | laration or Oath and Power of Attorney:   |  |  |  |  |  |
| []                              | New (executed original or copy).  |  |  |  |  |  |
| [x ]                            | New (unexecuted).   |  |  |  |  |  |
| []                              | Copy from prior application (37 CFR § 1.63(d))                                    |  |  |  |  |  |
| Del                             | etion of Inventor(s) (37 CFR § 1.63(d)(2), 1.33(b)):                              |  |  |  |  |  |
| []                              | Signed Statement deleting inventor(s) named in the prior application is attached. |  |  |  |  |  |

- 3 <del>-</del>

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### **Assignment:**

An executed Assignment of the invention to: []

### **Small Entity Statement:**

[x ] Applicant claims Small Entity status under 37 CFR 1.27.

The filing fee has been calculated as shown below:

|                               | NO.<br><u>FILED</u> | NO.<br><u>EXTRA</u> | SMALL ENTITY |            | SMALL ENTITY |        |       |
|-------------------------------|---------------------|---------------------|--------------|------------|--------------|--------|-------|
|                               |                     |                     | RATE         | <u>FEE</u> | <u>OR</u>    | RATE   | FEE   |
| BASIC FEE                     |                     |                     |              | \$355      | <u>OR</u>    |        | \$710 |
| O TOTAL CLAIMS                | <u>45</u> - 20 =    | <u>25</u>           | x 9 =        | \$225      | OR           | x 18 = | \$    |
| INDEP. CLAIMS                 | <u>6</u> - 3 =      | 3                   | x 40 =       | \$120      | <u>OR</u>    | x 80 = | \$    |
|                               | TION OF             |                     |              |            |              |        |       |
| FIRST PRESENTA MULTIPLE DEPEN | DENT CLAIN          | Л(S)                | +135 =       | \$         | <u>OR</u>    | +270 = | \$    |
| 2                             | TOTAL               |                     |              | \$700      | <u>OR</u>    |        | \$    |

## Method of Payment of Fees: No filing fee is to

- No filing fee is to be paid at this time.
- Please charge my Deposit Account No. <u>04-1679</u> in the amount of \$\_700.00. [x]
- A check in the amount of \$\_\_\_\_ is attached. Please charge any deficiency or credit [] any overpayment to Deposit Account No. 04-1679.

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### **Authorization to Charge Additional Fees:**

NOTE: if no fees are to be paid on filing, the following items should NOT be completed.

- [x] The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to Deposit Account No. <u>04-1679</u>.
  - [x] Filing fees (37 CFR § 1.16(a), (f) or (g)).
  - [x] Presentation of extra claims (37 CFR § 1.16(b), (c) and (d)).
  - [x] Surcharge for filing the basic filing fee and/or declaration later than the filing date of the application (37 CFR § 1.16(e).
  - [x] 37 CFR §§ 1.17(a)(1)-(5) (Extension fees pursuant to 37 CFR § 1.136(a)).
  - [x] Application processing fees (37 CFR § 1.17).
  - [ ] 37 CFR § 1.18 (Issue Fee at or before mailing of Notice of Allowance pursuant to 37 CFR 1.311(b).

Date: May 23, 2001

Steven E, Xoffs Steven E. Koffs

Registration No. 37,163

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